





# **STIC Search Report**

**EIC 3600**

**STIC Database Tracking Number: 107708**

**TO: Dick Fults**  
**Location: cpk 5 7C20**  
**Art Unit : 3628**  
**Wednesday, August 18, 2004**

**Case Serial Number: 09 767 031**

**From: Sylvia Keys**  
**Location: EIC 3600**  
**PK5-Suite 804**  
**Phone: 305-5782**

**[sylvia.keys@uspto.gov](mailto:sylvia.keys@uspto.gov)**

## **Search Notes**

Dear Examiner Fults,

Please read through the results.

If you have any questions, please do not hesitate to contact me.

Sylvia



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# STIC EIC 3600 Search Request Form

Today's Date:

8/12/04

Priority Date:

1/21/2000

For 705 Searches list subclass:

36

Your Name

DICK FULTS

Is this a Rush?

YES NO

SPE's Signature

AU

3628

Examiner #

78528

Room #

7C20

Phone

305-5416

Serial #

09/767 031

Is this a first action amendment? YES NO

Is this a refocus? YES NO

Access #

129909

What is the focus of this search? Please include concepts, synonyms etc.

Attach a copy of the abstract, pertinent claims and your East search strategy. Thanks.

See claims 1, 14, 18, 23, 25, 27, 36, 38 & the abstract for the key novel features.

Biggest issue is how to <sup>electronically</sup> transfer the security to the receiving entity without first selling it to receive the funds it represents, as the security typically arrives in an account of a brokerage firm, and typically the brokerage firm will not transfer the securities except to another brokerage firm.

STIC Searcher

Date picked up

Phone

Date completed

